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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

FRITSCH ET AL.

INTERNATIONAL APPLICATION NO: PCT/EP03/006757

FILED: 26 JUNE 2003

U.S. APPLICATION NO: 10/519,069 35 USC §371 DATE: Not Yet Known

FOR: GENE FOR INCREASED SOMATIC RECOMBINATION

Mail Stop: Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The asterisked (\*) references were cited in the International Search Report. Since copies of said references were forwarded by the International Bureau, only copies of the non-asterisked references are enclosed.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Attorney for Applicants Reg. No. 43,019

John T. Prince

Novartis Corporate Intellectual Property One Health Plaza, Building 104 East Hanover, NJ 07936-1080 (617) 871-3346

Date: May 10, 2005

RTMENT OF COMMERCE ND TRADEMARK OFFICE U.S. PATE INFORMATION DISCLOSURE CITATION

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1-32546 APPLICATION NO. 10/519,069 APPLICANT FRITSCH ET AL. FILING DATE **DECEMBER 22, 2004** 

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## **U.S. PATENT DOCUMENTS**

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# FOREIGN PATENT DOCUMENTS

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# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

 AR	Aguilera, et al., "Genetic control of intrachromosomal recombination in Saccharomyces cerevisiae. I. Isolation and genetic characterization of hyper-recombination mutations", Genetics, Vol. 119, pp. 779-790 (1988)
 AS	Albinsky, et al., "Plant responses to genotoxic stress are linked to an ABA/salinity signaling pathway", The Plant J., Vol. 17, pp. 73-82 (1999)
АТ	Aravind, et al., "Conserved domains in DNA repair proteins and evolution of repair systems", Nucleic Acids Res., Vol. 27, pp. 1223-42 (1999)

**EXAMINER** 

DATE CONSIDERED

Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in \*EXAMINER: conformance and not considered. Include a copy of this form with the next communication to applicant.

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ATTY. D. 1-32546E T NO. APPLICATION NO. 10/519,069 **APPLICANT** FRITSCH ET AL. **FILING DATE DECEMBER 22, 2004** 

Group

EXAMINER INITIAL	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)					
	DA	Betham, et al., "A tool for functional plant genomics: chimeric RNA/DNA oligonucleotides cause in vivo gene-specific mutations", Proc. Natl. Acad. Sci., Vol. 96, pp. 8774-78 (1999)				
	DB	Cho, et al., "TIP49b, a regulator of activating transcription factor 2 response to stress and DNA damage", Mol. and Cell. Biology, Vol. 21, pp. 8398-8413 (2001)				
	DC	Davies, et al., "Isolation of arabidopsis thaliana mutants hypersensitive to gamma radiation", Mol. Gen., Vol. 243, pp. 660-65 (1994)				
	DD	Clough, et al, "Floral dip: a simplified method for Agrobacterium-mediated transformation of Arabidopsis thaliana", The Plant J., Vol. 16, pp. 735-43 (1998)				
	DE	Davis, et al., "A presumptive helicase (MOT1 gene product) affects gene expression and is required for viability in the yeast saccharomyces cerevisiae", Mol. and Cell. Biol., Vol. 12, pp. 1879-92 (1992)				
	DF	Dilkes, et al., "Cloning genes from T-DNA tagged mutants", Methods in Mol. Biol., Vol. 82, pp. 339-51				
	DG	Doutriaux, et al., "Isolation and characterization of the RAD51 and DMC1 homologs from Arabidopsis thaliana", Mol. Gen., Vol. 257, pp. 283-91 (1998)				
	DH	Ebbert, et al., "The product of the SNF2/SW12 paralogue INO80 of Saccharomyces cerevisiae required for efficient expression of various yeast structural genes is part of a high molecular weight protein complex", Mol. Micro., Vol. 32, pp. 741-51 (1999)				
	DI	Emery, et al., "Sequence of RAD54, a saccharomyces cerevisiae gene involved in recombination and repair", Gene, Vol. 104, pp. 103-6 (1991)				
	DJ	Essers, et al, "Homologous and non-homologous recombination differentially affect DNA damage repair in mice", The Embo J., Vol. 19, pp, 1703-10 (2000)				
	DK	Fang, et al., "Multiple cis regulatory elements for maximal expression of the cauliflower mosaic virus 35S promoter in transgenic plants", The Plant Cell, Vol. 1, pp. 141-50 (1989)				
*	DL	Fridborg, et al., "The arabidopsis dwarf mutant shi exhibits reduced gibberellin responses conferred by overexpression of a new putative zinc finger protein", The Plant Cell, Vol. 11, pp. 1019-31 (1999)				
	DM	Gallego, et al., "AtRAD1, a plant homologue of human and yeast nucleotide excision repair endonucleases, is involved in dark repair of UV damages and recombination", The Plant J., Vol. 21, pp. 507-18 (2000)				
	DN	Gallego, et al., "Positive-negative selection and T-DNA stability in arabidopsis transformation", Plant Mol. Biol., Vol. 39, pp. 83-93 (1999)				
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ATTY. DO NO. 1-32546B
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APPLICANT
FRITSCH ET AL.
FILING DATE
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Group

EXAMINER OT	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)					
DA	Galli, et al., "Characterization of the hyperrecombination phenotype of the pol3-t mutation of saccharomyces cerevisiae", Genetics, Vol. 164, pp. 65-79 (2003)					
DB	Gamborg, et al., "Nutrient requirements of suspension cultures of soybean root cells", Exper. Cell Res., Vol. 50, pp. 151-58 (1968)					
DC	Gherbi, et al., "Homologous recombination in planta is stimulated in the absence of Rad50", Embo Reports, Vol. 2, pp. 287-91 (2001)					
DD	Gorbunova, et al., "A new hyperrecombinogenic mutant of nicotiana tabacum", Vol. 24, pp. 601-11 (2000)					
DE	Gorbalenya, et al, "Helicases: Amino acid sequence comparisons and structure function relationships", Current Opin. in Structural Biol., Vol. 3, pp. 4119-29 (1993)					
DF	Guerineau, et al., "Sulfonanmide resistane gene for plant transformation", Plant Mol. Biol., Vol. 15, pp. 127-36 (1990)					
DG	Hanin, et al., "Gene targeting in Arabidopsis", The Plant J., Vol. 28, pp. 671-77 (2001)					
DH	Hayashi, et al., "Activation of a plant gene by T-DNA tagging: auxin-independent growth in vitro", Science, Vol. 258, pp. 1350-53 (1992)					
DI	Hohn, et al., "Gene therapy in plants", Proc. Natl. Acad. Sci., Vol. 96, pp. 8321-23 (1999)					
DJ	Jenkins, et al., "Radiation-sensitive mutants of arabidopsis thaliana", Genetics, Vol. 140, pp. 725-32 (1995)					
DK	Ikura, et al., "Involvement of the TIP60 histone acetylase complex in DNA repair and apoptosis", Cell, Vol. 102, pp. 463-73 (2000)					
DL	Jelesko, et al., "Rare germinal unequal crossing-over leading to recombinant gene formation and gene duplication in arabidopsis thaliana", Proc. Natl. Acad. Sci., Vol. 96, pp. 10302-07 (1999)					
DM	Jiang, et al., "UV- and gamma-radiation sensitive mutants of arabidopsis thaliana", Genetics, Vol. 147, pp. 1401-09 (1997)					
DN	Kakimoto, et al., "CKI1, a histidine kinase homolog implicated in cytokinin signal transduction", Science, Vol. 274, pp. 982-85 (1996)					
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EXAMINER INITIAL	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)					
	DA	Jonsson, et al, "Rvb1p and Rvb2p are essential components of a chromatic remodeling complex that regulates transcription of over 5% of yeast genes", J. of Biol. Chem., Vol. 276, pp. 16279-88 (2001)				
	DB	Kanemaki, et al., "TIP49b, a new RuvB-like helicase, is included in a complex together with another RuvB-like DNA helicase, TIP49a", J. of Biol. Chem., Vol. 274, pp. 22437-44 (1999)				
	DC	Kardailsky, et al., "Activation tagging of the floral inducer FT", Science, Vol. 286, pp. 1962-65 (1999)				
	DD	Kipp, et al., "Gene targeting in plants via site-directed mutagenesis", Methods in Mol. Biol., Vol. 133, pp. 213-21				
	DE	Klimyuk, et al., "AtDMC1, the arabidopsis homologue of the yeast DMC1 gene: characterization, transposon-induced allelic variation and meiosis-associated expression", The Plant J., Vol. 11, pp. 1-14 (1997)				
	DF	Laurent, et al., "Functional interdependence of the yeast SNF2, SNF5, and SNF6 proteins in transcriptional activation", Proc. Natl. Acad. Sci., Vol. 88, pp. 2687-91 (1991)				
	DG	Lee, et al., "Homologous recombination in plant cells after agrobacterium-mediated transformation", The Plant Cell, Vol. 2, pp. 415-25 (1990)				
	DH	Liu, et al, "Repair of UV damage in plants by nucleotide excision repair: arabidopsis UVH1 DNA repair gene is a homolog of saccharomyces cerevisiae Rad 1", The Plant J., Vol. 21, pp. 519-28 (2000)				
	DI	Masson, et al., "Mutants of arabidopsis thaliana hypersensitive to DNA-damaging treatments", Genetics, Vol. 146, pp. 401-7 (1997)				
	DJ	Masson, et al., "Arabidopsis thaliana mutants altered in homologous recombination", Proc. Natl. Acad. Sci., Vol. 94 pp. 11731-35 (1997)				
	DK	Mathur, et al., "Gene identification with sequenced T-DNA tags generated by transformation of arabiopsis cell suspension", The Plant J., Vol. 13, pp. 707-16 (1998)				
	DL	Mayerhofer, et al., "T-DNA integration: a mode of illegitimate recombination in plants", The Embo J., Vol. 10, pp. 697-704 (1991)				
	DM	Mengiste, et al., "Prospects for the precise engineering of plant genomes by homologous recombination", Biol. Chem., Vol. 380, pp. 749-58 (1999)				
	DN	Mengiste, et al., "An SMC-like protein is required for efficient homologous recombination in arabidopsis", The Embo J., Vol. 18, pp. 4505-12 (1999)				
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EXAMINER INITIAL	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)					
	DA	Miao, et al. "Targeted disruption of the TGA3 locus in arabidopsis thaliana", The Plant J., Vol. 7, pp. 359-65 (1995) +				
	DB	Michelet, et al., "Improvement of arabidopsis mutant screens based on luciferase imaging in planta", Plant Mol. Biol. Reporter, Vol. 14, pp. 320-29 (1996)				
-	DC	Millar, et al., "The regulation of circadian period by phototransduction pathways in arabidopsis", Science, Vol. 267, pp. 1163-66 (1995)				
	DD	Millar, et al., "Firefly luciferase as a reporter of regulated gene expression in higher plants", Plant mol. Biol. Reporter, Vol. 10, pp. 324-37 (1992)				
	DE	Millar, et al., "Circadian clock mutants in arabidopsis identified by luciferase imaging", Science, Vo 267, pp. 1161-63 (1995)				
	DF	Muchardt, et al., "ATP-dependent chromatin remodeling: SWI/SNF and Co. are on the job", J. Mol Biol., Vol. 293, pp. 187-98 (1999)				
	DG	Muris, et al., "Isolation of the schizosaccharomyces pombe RAD54 homologue, rhp54+, a gene involved in the repair of radiation damage and replication fidelity", J. of Cell Science, Vol. 109, pp. 73-81 (1996)				
	DH	Nacry, et al., "Major chromosomal rearrangements induced by T-DNA transformation n arabidopsis", Genetics, Vol. 149, pp. 641-50 (1998)				
	DI	Offringa, et al., "Extrachromosomal homologous recombination and gene targeting in plant cells after agrobacterium mediated transformation", The Embo J., Vol. 9, pp. 3077-84 (1990)				
	DJ	Paszkowski, et al., "Gene targeting in plants", The Embo J., Vol. 7, pp. 4021-26 (1988)				
	DK	Perreten, et al., "Targeted disruption in arabidopsis", Nature, Vol. 389, pp. 802-03 (1997)				
	DL	Pruitt, et al., "Characterization of the genome of arabidopsis thaliana", J. Mol. Biol., Vol. 187, pp. 169-83 (1986)				
	DM	Puchta, et al., "Somatic intrachromosomal homologous recombination events in populations of plant siblings", Plant Mol. Biol., Vol. 28, pp. 281-92 (1995)				
	DN	Puchta, et al., "Induction of intrachromosomal homologous recombination in whole plants", The Plant J., "Vol. 7, pp. 203-10 (1995)				
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FORM PTO-1449
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EXAMINER INITIAL	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)				
	DA	Puchta, "Gene replacement by homo 173-82 (2002)	logous recombination in platns", Plant Mol. Biol., Vol. 48, pp.		
	DB		s homologous recombination in plants", Proc. Natl. Acad. Sci.		
	DC	Reiss, et al., "Targeting of a functional Mol. Gen. Genet., Vol. 253, pp. 695-7	al escherichia coli RecA protein to the nucleus of plant cells", '02 (1997)		
	DD		chromatid exchange and the fidelity of double-strand break ts transformed by agrobacterium", Proc. Natl. Acad. Sci., Vol.		
	DE		s of the DNA-stimulated ATPase domain of yeast 4, pp. 3685-92 (1996)		
	DF		ypocotyl mutation of arabidopsis disrupts circadian rhythms ering", Cell, Vol. 93, pp. 1219-29 (1998)		
	DG	Shalev, et al., "Stimulation of homolog resolvase RuvC", Proc. Natl. Acad. S	gous recombination in plants by expression of the bacterial ci., Vol. 96, pp. 7398-7402 (1999)		
	DH	Shen, et al., "A chromatic remodelling Nature, Vol. 406, pp. 541-44 (2000)	complex involved in transcription and DNA processing",		
	DI	Shen, et al., "Modulation of ATP-dependence polyphosphates, Science, Vol. 299, p	endent chromatic-remodeling complexes by inositol p. 112-14 (2003)		
	DJ		se activity located in the DNA polymerase subunit of I for accurate replication", The Embo J., Vol. 10, pp. 2165-70		
	DK		second essential DNA polymerase, is encoded by the S. pp. 599-605 (1989)		
	DL	Sugino, "Yeast DNA polymerases an (1995)	d their role at the replication fork", TIBS, Vol. 20, pp. 319-323		
	DM	Swoboda, et al., "Intrachromosomal h 13, pp. 484-89 (1994)	omologous recombination in whole plants", The Embo J., Vol		
	DN	Thykjaer, et al., Gene targeting approregions", Plant Mol. Biol., Vol. 35, pp.	aches using positive-negative selection and large flanking 523-30 (1997)		
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	DA	Torres-Ramos, et al., "Requirement of Yeast DNA polymerase in post-replicational repair of UV-damaged DNA", J. of Bio. Chem., Vol. 272, pp. 25445-448 (1997)
	DB	Travers, "An engine for nucleosome remodeling", Cell, Vol. 96, pp. 311-14 (1999)
· · · · · · ·	DC	Troelstra, et al., "ERCC6, a member of a subfamily of putative helicases, is involved in cockayne's syndrome and preferential repair of active genes", Cell, Vol. 71, pp. 939-53 (1992)
	DD	Van Gool, et al, "RAD26, the functional S. cerevisiae homolog of the cockayne syndrome B gene ERCC6", The Embo J., Vol. 13, pp. 5361-69 (1994)
<del>.</del>	DE	Walden, et al, "Activation tagging: a means of isolating genes implicated as playing a role in plant growth and development:", Plant Mol. Biol., Vol. 26, pp. 1521-28 (1994)
	DF	Weigel, et al., "Activation tagging in arabidopsis", Plant Phys., Vol. 122, pp. 1003-13 (2000)
4	DG	Wilson, et al., "A dissociation insertion causes a semidominant mutation that increases expression of TINY, an arabidopsis gene related to APETALA2", The Plant Cell, Vol. 8, pp. 659-71 (1996)
	DH	Wood, et al., "An ATPase/helicase complex is an essential cofactor for oncogenic transformation by c-Myc", Mol. Cell, Vol. 5, pp. 321-30 (2000)
	DI	Zhu, et al., "Engineering herbicide-resistant maize using chimeric RNA/DNA oligonucleotides", Nature Biotech., Vol. 18, pp. 555-68 (2000) Zh
	DJ	Zhu, et al, "Targeted manipulation of maize genes in vivo using chimeric RNA/DNA oligonucleotides", Proc. Natl. Acad. Sci., Vol. 96, pp. 8768-73 (1999)
	DK	*Online, "Arabidopsis thaliana putative helicase mRNA, partial cds", Database accession no. AYO80695
	DL	*Online, "Arabidopsis thaliana cDNA clone: RAFL09-38-B21, 5'-end", Database accession no. AV829055
	DM	*Online, "Arabidopsis thaliana DNA chromosome 3, BAC clone F2809", Database accession no. AL137080
	DN	*Online, "Helicase-like protein F2809.150", Database accessioj no. Q9M2L7
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	DB	*Ebbert, et al., "The product of the SNF2/SW12 paralogue IN080 of saccharomyces cerevisiae required for efficient expression of various yeast structural genes is part of a high-molecular-weight protein complex", Mol. Micro., Vol. 32 (1999)				
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